

1207-0

PCT

JC03 Rec'd PCT/PTO

08 NOV 2001

11/08/01

FORM PTO-1390
REV 12-97

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

DATE:

October 26, 2001

ATTORNEY'S DOCKET NUMBER

54919US005

U.S. APPLICATION NO.

(if known, see 37 C.F.R. 1.5)

09/980911

INTERNATIONAL APPLICATION NO.

PCT/US00/15791

INTERNATIONAL FILING DATE

08 June 2000

PRIORITY DATE CLAIMED

09 June 1999

TITLE OF INVENTION

OPTICAL LAMINATED BODIES, LIGHTING EQUIPMENT AND AREA LUMINESCENCE EQUIPMENT

APPLICANT(S) FOR DO/EO/US

TOYOOKA, Kazuhiko

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39 (1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
 - ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - ☒ Has been transmitted by the International Bureau.
 - ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
 - ☐ is attached hereto
 - ☐ Has been previously submitted under 35 U.S.C. 154(d)(4)
7. ☒ Amendment to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - ☐ Are transmitted herewith (required only if not transmitted by the International Bureau).
 - ☐ have been transmitted by the International Bureau.
 - ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

Certificate of Express Mailing

Under 37 CFR 1.10, I certify that this entry of a PCT application into US National Stage is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service addressed to the Commissioner for Patents, Washington, DC 20231, on the date indicated below.

Express Mail Mailing Label No.

EL 597 086 116 US

Date of Deposit

November 8, 2001

Signature of Person Mailing Application

Shannon M. Bruce

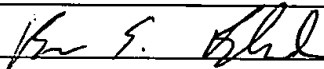
Printed Name of Person Mailing Application

Shannon M. Bruce

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 09/980911		INTERNATIONAL APPLICATION NO.: PCT/US00/15791		ATTORNEY'S DOCKET NUMBER 54919US005	
17. <input checked="" type="checkbox"/> The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)):				Calculations	PTO Use Only
<input checked="" type="checkbox"/> Search Report has been prepared by the EPO or JPO.....\$890 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) ...\$710 <input type="checkbox"/> No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445 (a)(2))\$740 <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$1,040 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....\$100					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 890.00	
Surcharge of \$ 130 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
Claims	Number Filed	Number Extra	Rate		
Total Claims	3 -20 =	= 0	x \$ 18	\$ 0.00	
Independent Claims	1 -3 =	= 0	x \$ 84	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (IF APPLICABLE)			x \$ 280	\$ 0.00	
TOTAL OF ABOVE CALCULATION =				\$ 890.00	
Reduction by 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28)				\$	
SUB TOTAL =				\$ 890.00	
Processing fee of \$ 130 for furnishing the English translation later the <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
TOTAL FEES ENCLOSED =				\$	
				Amount to be refunded	\$
				charged	\$ 890.00
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>13-3723</u> in the amount of \$ <u>890.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>13-3723</u> . A duplicate copy of this sheet is enclosed NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					

Send All Correspondence To:

Signature



Name:

Bruce E. Black

Registration Number:

41,622

Address:

Office of Intellectual Property Counsel
 3M Innovative Properties Company
 P.O. Box 33427
 St. Paul, Minnesota 55133-3427